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## Remote sensing of blood oxygenation using red-eye pupil reflection

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# Remote sensing of blood oxygenation using red-eye pupil reflection

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**Keywords:** Optical density ratio, spectral imaging, choroid, oxygen

**Abstract**

**Objective:** To develop a technique for remote sensing of systemic blood oxygenation using red-eye pupil reflection.

**Approach:** The ratio of the intensities of light from the bright pupil reflections at oxygen sensitive and isosbestic wavelengths is shown to be sensitive to the oxygenation of blood in the eye. A conventional retinal camera, fitted with an Image Replicating Imaging Spectrometer, was used at standoff range to record snapshot spectral images of the face and eyes at eight different wavelengths. In our pilot study we measured optical-density ratios (ODRs) of pupil reflections at wavelengths of 780nm and 800nm, simultaneous with pulse oximetry, for ten healthy human subjects under conditions of normoxia and mild hypoxia (15% oxygen). The low absorption at these infrared wavelengths localises the sensing to the choroid. We propose that this can be used for as a proxy for systemic oximetry.

**Main results:** A significant reduction ( $P < 0.001$ ) in ODR of the pupil images was observed during hypoxia and returned to baseline on resumption of normoxia. We demonstrate that measurement of the choroidal ODR can be used to detect changes in blood oxygenation that correlate positively with pulse oximetry and with a noise-equivalent oximetry precision of 0.5%.

**Significance:** We describe a new method to remotely and non-invasively sense the oxygen saturation of choroidal blood. The methodology provides a proxy for remote sensing of cerebral and systemic blood oxygenation. We demonstrate the technique at short range but it has potential for systemic oximetry at large standoff ranges.

## 1. Introduction

The retina has a particularly high metabolic demand for oxygen, which that is met mainly by the choroid (about 85%) with the retinal circulation providing the remaining 15% [1, 2]. The choroid supplies the outer layers of the retina including the photoreceptors while the inner layers of the retina, including the retinal ganglion cells, are supplied by the retinal vessels. The choroid is supplied with blood by the ophthalmic artery, which is a branch of the internal carotid artery, and as such is considered to be a part of the cerebral vasculature [3]. Choroidal vessels (arteries and venules) have a very high oxygen tension and a very low arteriovenous oxygen saturation difference, in the region of about 3% [4]. It is possible, with traditional spectrophotometric methods, to assess and study oxygen saturation in retinal vessels non-invasively [5-8] or invasively through the application of phosphor into the circulation [9, 10] or direct measurement through the use of an oxygen electrode [11]. We report a technique that operates at standoff and non-invasively and so offers particular advantages as a technique for routine sensing of choroidal oxygenation in humans. Our technique provides sensitive sensing of blood oxygenation and can be further developed for measurement of absolute oximetry. Few studies on choroidal oxygenation have been reported and those that have are restricted mainly to animal studies [12]. Due to the close coupling between cerebral and choroidal vasculature, measurement of choroidal oxygenation would provide a surrogate measure of oxygen saturation of the cerebral vasculature. In terms of retinal diseases, choroidal oximetry could prove to be useful in understanding pathophysiology of diseases like age-related macular degeneration [13, 14], diabetic retinopathy [15-17] and be employed as a useful diagnostic tool for Alzheimer's disease risk [18, 19] in which cerebral blood flow plays an important role.

The first non-invasive measurement of choroidal oxygen saturation was demonstrated by Broadfoot et al [20] in 1961, using a modified Gullstrand ophthalmoscope to focus light reflected from the human ocular fundus onto a photomultiplier. Light was time-sequentially

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spectrally filtered using a filter wheel with red and cyan colour filters. The study reported that the fundus reflection of red light changed significantly when the choroidal oxygenation was altered in subjects breathing nitrogen or by apnea. Laing et al employed non-imaging fundus reflectometry at wavelengths of 650 nm and 805 nm to determine the oxygen saturation in the choroidal blood [21] for subjects with acute mild hypoxia induced by breathing a hypoxic-air mixture. More recently, Kristjandottir et al [22] imaged oxygen saturation in choroidal vessels based on images recorded at wavelengths of 570 nm and 600 nm using a modified fundus camera. The study was however, restricted to subjects with very low pigmentation that provided sufficient visibility of the choroidal vessels within the retinal images and at the visible wavelengths suitable for vascular oximetry. Fundus reflection has also been used to quantify retinal pigments, including macular pigment [23] and melanin [24, 25] as well as to study diseases and treatment efficacy; for example, in diabetes [26] and glaucoma [27, 28]. A review of fundus reflection characterisation and its application is presented in [29]. We report here a proof-of-concept demonstration of a new technique for remote assessment of SpO<sub>2</sub> based upon reflection of light from the pupil. This is the ‘red-eye’ effect familiar from routine flash-illuminated photography of faces. It offers oximetry without contact, and in principle at considerable range, that could be applied, for example, for remote triage in emergency care or where conventional pulse oximetry is not possible. The red-eye effect is due to light that has been transmitted into the pupil, and undergoes a two-way transmission through the retinal and choroidal tissue and is reflected by the sclera at the back of the eye.

The aim of this proof of concept study was to demonstrate the feasibility of remote sensing of choroidal oxygenation using spectral images of the pupil reflection. We accomplished this by calculation of spectral optical density ratios of pupil reflections under conditions of normoxia and during a period of inhaling a hypoxic gas mixture. We hypothesised that the changes in

optical density ratio, as a function of normoxia and hypoxia, would mirror the simultaneously measured changes in systemic oxygenation determined from finger pulse oximetry.

## 2. Methods

*2.1 Physical principles of oximetry using pupil red-eye reflection:* In the absence of illumination, the eye pupil appears dark, but with bright illumination from a source located close to the point of observation, as is common in flash photography, pupils appear red due to the interaction of light with blood in the ocular fundus. At wavelengths in the region 500-600nm this reflection is due mainly to bulk optical scattering and reflection from within the retina. At wavelengths between 630 and 800nm, where the optical transmission of blood is much higher, a significant fraction of light is transmitted through the retina and choroid and is reflected by the sclera. At these longer wavelengths, it is the spectral variation in the absorption of light by choroidal blood that dominates the spectrum of the red-eye reflection: the absorption by the photoreceptor layer and nerve-fibre layers in the retina is typically less than about 2% at these wavelengths [30] and does not have a significant impact on our measurements. There is however absorption of light by melanin in the pigment epithelium layer and within the choroids, which correlates with eye and skin pigmentation of the subject and does affect measured optical densities.

The choroid has an extremely dense vasculature and at about 400  $\mu\text{m}$  thick is much thicker than the retina and therefore is the dominant determinant to the spectrum of the pupil reflection in the 630-800 nm range. The technique presented here exploits the spectral variation in the absorbance coefficients of oxyhaemoglobin and deoxyhaemoglobin; that is, the same principle on which retinal oximetry is based. The spectrum of light reflected from the choroid is dependent upon blood-oxygen saturation and the relevant fractions of oxy- and deoxyhaemoglobin. As for two-wavelength retinal oximetry, using one isosbestic wavelength

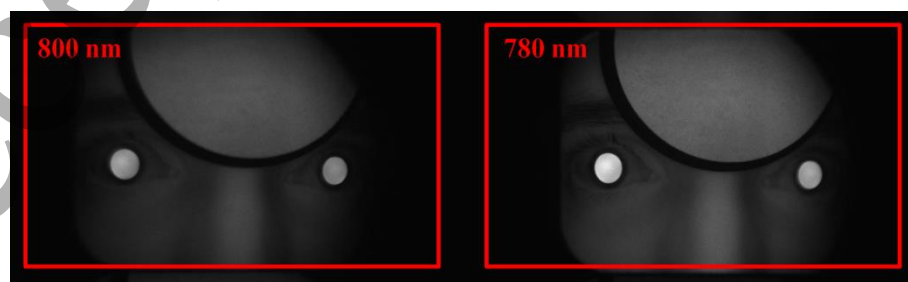
110 and one oxygen-sensitive wavelength to record choroidal reflection, the oxygen saturation of  
111 choroidal blood can be characterised.

112 The geometry for imaging and illumination of the eye and the gaze angle determine the  
113 characteristics of the brightness and spectrum of the fundus reflection. In conventional  
114 photography of faces, red-eye fundus reflection is avoided (for aesthetic reasons) by  
115 displacement of the flash illumination from the camera lens so that the area of the retina  
116 illuminated by the flash lamp has zero or reduced overlap with the area of the retina that is  
117 geometrically visible from the position of the camera lens. We have employed a conventional  
118 fundus camera for which the illumination and imaging optics are coaxial leading to a high  
119 degree of overlap between the illuminated and visible areas of the fundus so as to enhance the  
120 intensity of light returned through the pupil.

121 For spectral imaging of the oximetric fundus reflection, the fundus-camera was moved from  
122 its usual position for imaging through the pupil; with the objective lens about 40 mm from the  
123 eye; to a new position of 90 mm away so as to increase the field of view and enable  
124 simultaneous snapshot spectral imaging of both pupils as can be seen from the images in Figure  
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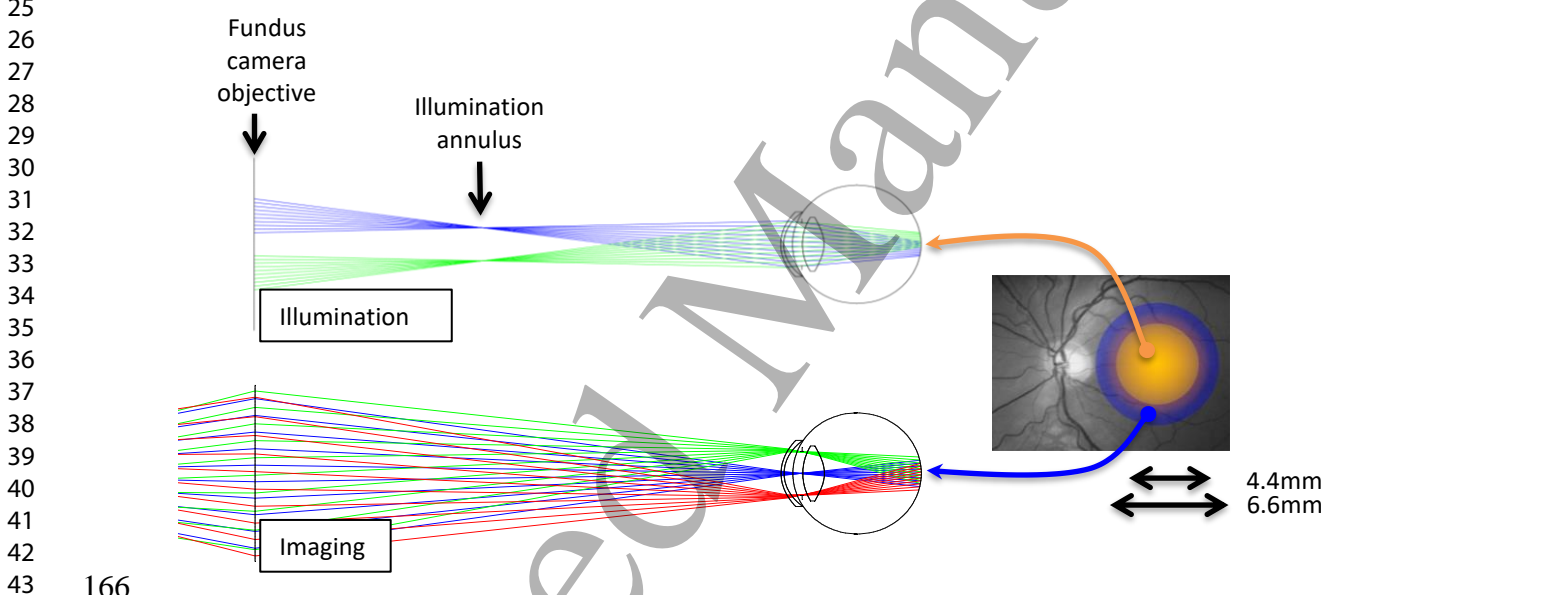
126 The illumination and image formation is illustrated by the optical ray-trace shown in the upper  
127 image in Figure 2. This was calculated using the *Zemax*© optical design programme and  
128 employing a schematic model for the human eye as reported in [31]. As is conventional for a  
129 mydriatic fundus camera the light is focused to an annulus with an external diameter of 6 mm  
130 located 40 mm from the front objective lens. During conventional imaging of the retina, this  
131 annulus is positioned within the pupil and a glare-free image of the retina is recorded through  
132 the dark non-illuminated centre of the annulus. The emmetropic eye is naturally focused at  
133 infinity (mydriasis is used in our experiments) and so the image plane of the illumination

annulus is about 10 mm behind the retina and a blurred image of the annulus is formed at the retina. From the ray-traced model, we determined that the blurred image of the illumination annulus has a diameter of 4.4 mm at the retina, however lateral diffusion of light results in a significantly wider disk being illuminated. We employed ray tracing to simulate how illumination by the retinal illumination affects the image formed of the pupil by the retinal camera. This showed that a disc of 6.6 mm at the retina contributes to the imaged pupil radiance, which we call the imaged-pupil radiance (IPR) disc. The approximate relative dimensions of the illumination and IPR discs are depicted by the orange and blue discs superimposed on a typical retinal image in Figure 2. At these infrared wavelengths, the tissue point-spread function of the retina is quite large, between 1-2 mm, effectively blurring and expanding the illumination disc on the retina to fill the IPR disc. It is apparent from Figure 2 that the pupil radiance image is determined by the integral of the light scattered from within the IPR disc and will therefore be expected to vary homogeneously across the pupil, but will be increased when the optic disc (which has a high albedo) falls within the IPR disc leading to localised brightness spots within the pupil image. Thus, when the subject fixates on the illumination point, the IPR disc is centred on the macula and the image of the pupil is determined by two-way transmission of light through the macula and surrounding retina, through the choroid to the sclera, enabling high-quality choroidal oximetry. If the direction of gaze is turned nasally however, the optic disk reflection contributes to the IPR disc contaminating the pupil image with scattered light and has the reduced interaction with the choroid.



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3 156 **Figure 1.** A spectral image of the upper face captured by IRIS system. The two pupil reflexes are visible together  
4 157 with a calibration tile. The two sub-images used for choroidal oximetry were 800 nm as isosbestic and 780 nm as  
5 158 oxygen sensitive are shown above.  
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10 160 To determine whether, for typical measurements, the optic disc intrudes into the IPR disc and  
11 161 contaminates the pupil images, the effect of the angle of gaze on fundus reflection was  
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13 162 determined by recording fundus-reflection pupil images for two subjects as the angle of gaze  
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15 162 determined by recording fundus-reflection pupil images for two subjects as the angle of gaze  
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17 163 was changed from an extreme nasal side to extreme temporal side as the subject tracked the  
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19 164 fundus-camera fixation light. The fundus ODR was calculated for five images at each angle of  
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21 165 gaze. The effect of angle of gaze on ODR is presented in section 3.1.

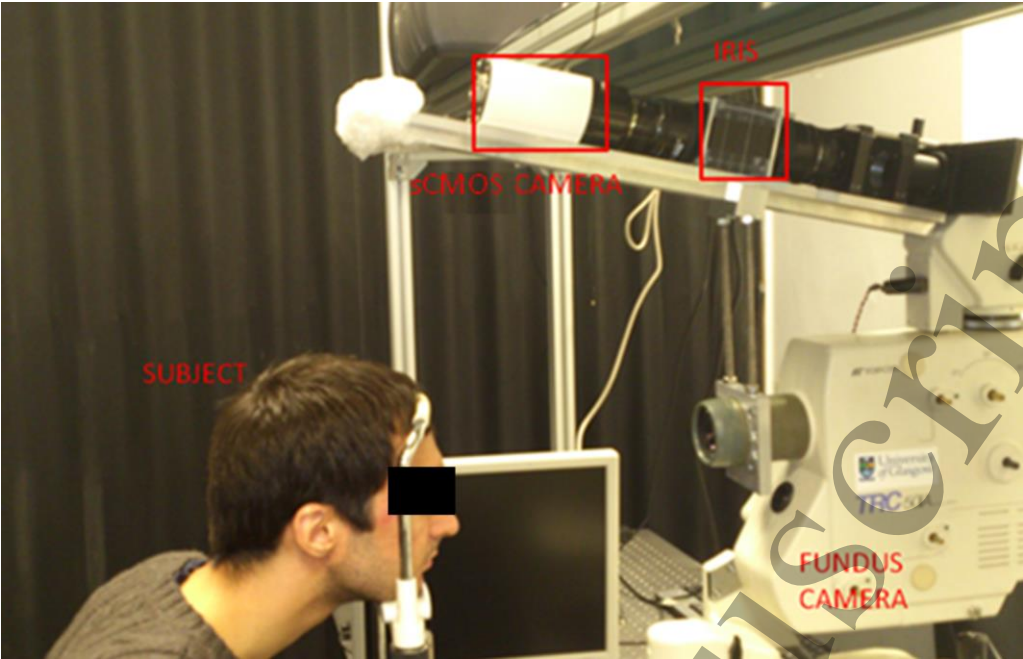


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167 **Figure 2.** Optical ray-trace of the illumination of the retina by the fundus camera for an emmetropic eye (upper  
168 ray trace) and of the fundus contributing to the radiance imaged at the pupil (lower ray trace). The inset image  
169 depicts the illumination (blue) and reflex (orange) discs superimposed on an image of a typical retina and centred  
170 on the macula.

171 **2.2 Imaging Setup:** Spectral images were acquired using a modified commercial fundus camera  
172 (Topcon TRC 50 IA, Japan) fitted with an image-replicating imaging spectrometer (IRIS), (see  
173 Figure 3), which acquires images in a single snapshot at eight wavelengths optimised for  
174 oximetry [32-36], IRIS consists of a cascade of two-beam polarising interferometers that  
175 simultaneously spectrally filter and replicate images to yield, in this case, eight narrowband

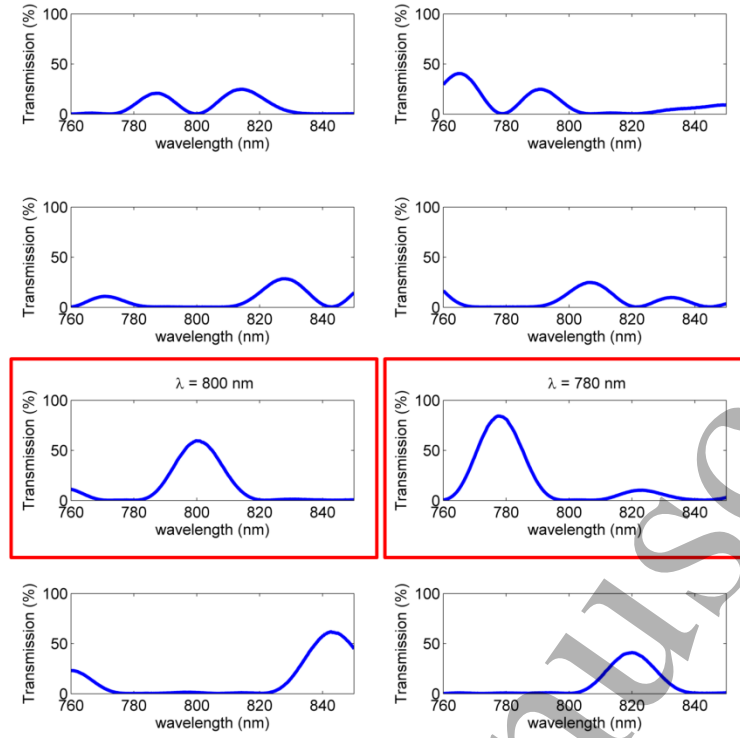


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3 176 images onto a single detector array. Interferometric spectral filtering by IRIS provides the well-  
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5 177 known Fellgett multiplex advantage of two-beam interferometry [37] enabling the highest  
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7 178 possible signal-to-noise ratios to be attained with the limited optical intensities that can be  
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9 179 safely used with the eye. We employed an IRIS system for which the waveplates had been  
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11 180 optimised for retinal vascular oximetry, providing isosbestic and oxygen-sensitive spectral  
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13 181 bands in the region 560-605 nm. Because IRIS passbands spectrally replicate with a specific  
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15 182 free-spectral range in a manner similar to a Fabry-Perot interferometer, it has thus been possible  
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17 183 to use this IRIS design for spectral imaging in the near infrared simply by the use of a different  
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19 184 spectral bandpass filter to restrict the free spectral range to a region in the near infrared. We  
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21 185 employed a 25 nm bandwidth band-pass filter with centre wavelength 788 nm to spectrally  
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23 186 filter light from the fundus camera inspection lamp, restricting the measurement to the free-  
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25 187 spectral range of IRIS for which only a single spectral transmission lobe occurs. The spectral  
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27 188 transmission functions of the eight sub-images of this IRIS system in the wavelength range  
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29 189 760-850 nm are shown in Figure 3. From the eight spectrally filtered images, two images with  
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31 190 spectral transmission peaks at 780 nm and 800 nm were employed to calculate optical density  
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33 191 ratio. The image at 780 nm provides sensitivity to blood oxygenation and 800 nm corresponds  
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35 192 to an isosbestic wavelength for which absorbance is approximately independent of  
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37 193 oxygenation.  
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**Figure 3:** Experimental setup of the modified fundus camera to record the red eye pupil reflection.

The objective of the fundus camera was located  $90 \pm 5\text{mm}$  away from the eyes of the subjects with the head stabilised using a headrest. The eyes of the subjects were illuminated with the filtered infra-red light and the spectral images of the pupils were captured simultaneously by a sCMOS camera (Zyla, Andor; Belfast, U.K.) located to intercept the image relayed through IRIS from the image plane of the fundus camera. An example of a captured raw image is shown in Figure 1.



**Figure 4.** Spectral transmissions of 8 sub-images recorded with the IRIS imaging system. The two sub-images used for choroidal optical density ratio measurements were 800 nm and 780 nm and are highlighted in red.

### 2.3. Oximetric analysis:

We assume that optical attenuation of infrared light transmitted through the choroid obeys the Beer-Lambert law and therefore, as described in the appendix, the ratio of the optical densities for light transmission recorded at oxygen-sensitive and isosbestic wavelengths varies in proportion to blood oxygenation as has been demonstrated by Beach et al [38] for oximetry of the retinal vasculature. For application in the retina, the optical density of the vasculature is determined by the ratio of intensity at the centre of a blood vessel to an estimate of the reflected light in the absence of the vessel determined by interpolation of the light on either side of the vessel. In an analogous metric, we define the optical density ratio as

$$ODR = \frac{\log_{10} R^{780}}{\log_{10} R^{800}} = \frac{\log_{10} \frac{v_p^{780}}{v_c^{780}}}{\log_{10} \frac{v_p^{800}}{v_c^{800}}} \quad (1.0)$$

Where,  $R^\lambda = \frac{v_p^\lambda}{v_c^\lambda}$  is the ratio of the average intensities of the images of the pupil and a calibration tile (visible in front of the forehead in Figure 1) at wavelengths  $\lambda = 780$  nm and 800 nm. In the appendix we show that the ODR varies linearly with oxygen saturation of choroidal blood. At 780 nm blood becomes increasingly transparent with increasing oxygenation causing the ODR to increase. Note that the light emitted by the pupil is non-Lambertian, concentrating light towards the source and transmission, and the absorbance of the choroid is low. So the pupil image can be brighter than a unity-albedo reflector. Although both pupils can be imaged simultaneously, the results presented here are based on one pupil (right).

#### 2.4. Fundus ODR with Changing Inspired Oxygen in Healthy Human Subjects

Ten healthy subjects were recruited to this study (eight males and two females, age  $27 \pm 9$  years mean  $\pm$  SD;). Written informed consent was obtained from all subjects. Subjects were briefed about the experimental procedure and asked to refrain from consuming any alcohol or caffeinated drink on the day prior to the experiment. The study was approved by the Heriot-Watt University Ethics Committee. All procedures were performed in accordance with the tenets of the Declaration of Helsinki. All the subjects recruited were healthy, non-smokers, without history of a respiratory disorder and taking no medication.

On the day of study, they were again briefed about the experimental protocol. Age, sex, weight was recorded. Tropicamide (1%, Bausch & Lomb, Chauvin Pharmaceuticals, Ltd., U.K.) was used to dilate the pupil. After about ten to fifteen minutes maximum dilation of the pupils, typically 8 mm, was achieved and the subjects were ready to be imaged.

Pupil reflection images were acquired for all the subjects under normoxia (21 % inspired oxygen). Hypoxia was then induced by changing the inspired oxygen to 15 % using a hypoxia generator (Everest Summit II Hypoxic Generator; Hypoxico, Inc., New York, NY, USA). [39]

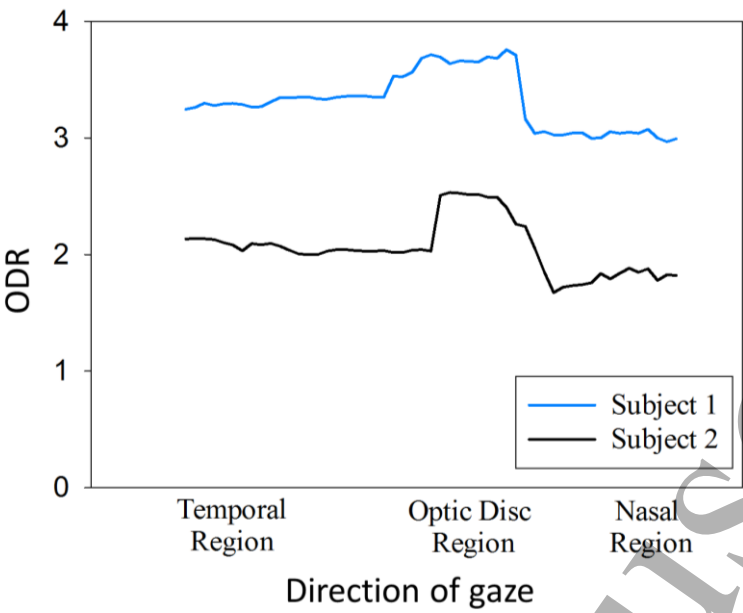
Pupil reflection images were acquired under hypoxic conditions. In total five sets of images

241 were acquired- three under normoxia and two under hypoxia (in alternating order). The  
242 peripheral arterial oxygen saturation was monitored using fingertip pulse oximeter  
243 (AUTOCORR; Smiths Medical ASD Inc., Rockland, MA, USA) during both normoxia and  
244 hypoxia conditions. All images (normoxic and hypoxic conditions) were analysed to calculate  
245 the fundus ODR. A paired t-test (SigmaPlot, Systat Software Inc.) was used to determine  
246 significance of the result.

### 247 3. Results

#### 248 3.1. Effect of Angle of Gaze on Fundus ODR

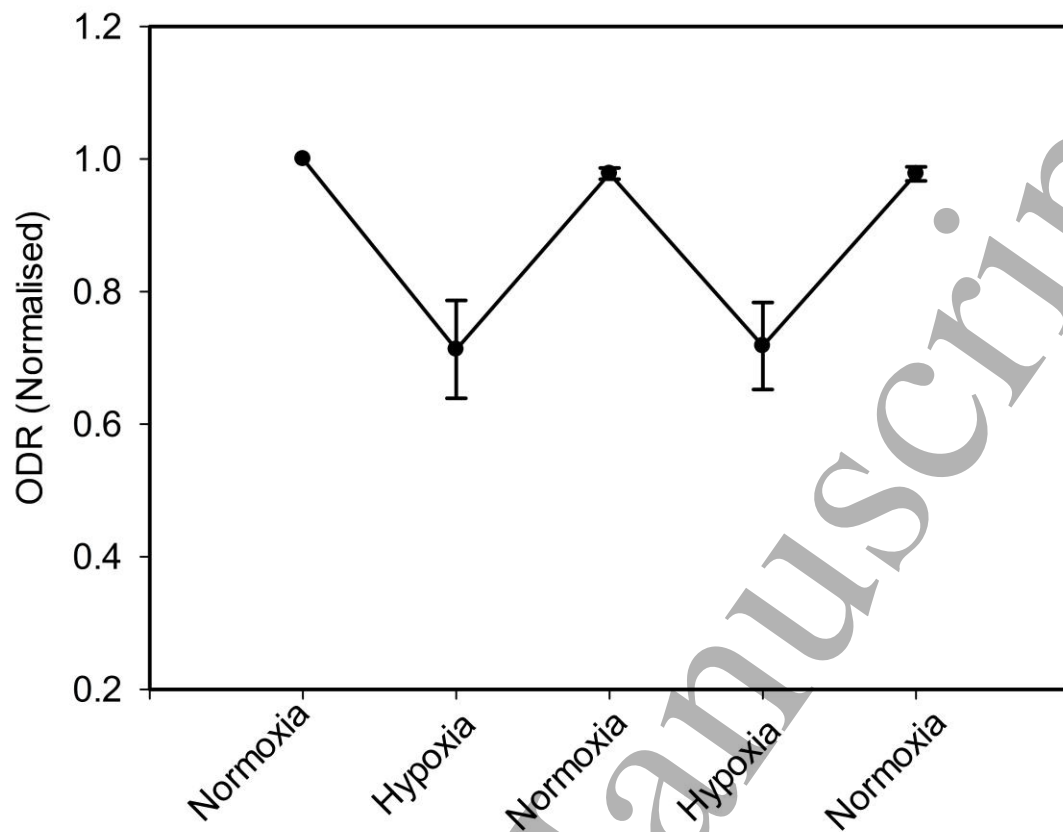
249 As previously discussed, it was assumed that when the subject fixates on a compact light source  
250 imaged on the macula, only the choroid and retina will contribute to the fundus reflection, but  
251 that some misalignment of the gaze may introduce a contribution from the optic disc and that  
252 this would modify the oximetric spectral signature. To assess this potential issue the effect of  
253 the angle of gaze on the pupil image was analysed in two subjects. Figure 5 shows the effect  
254 of the angle of gaze on the ODR for two subjects as the angle of gaze was changed from extreme  
255 temporal through to extreme nasal. The change in gaze direction corresponds to sweeping the  
256 area of the fundus contributing to the pupil image from the temporal fundus, macular region,  
257 optic disc to nasal side of the fundus. The elevated plateau in the ODR corresponds to angle  
258 for which the reflection from the optic disc contributes and hence for which the pupil image is  
259 both brighter and whiter. The gaze angles for which the optic-disc reflection partially  
260 contributes to the pupil image is apparent from the presence of brighter areas in a non-uniform  
261 pupil image and are thus easily identified and avoided.



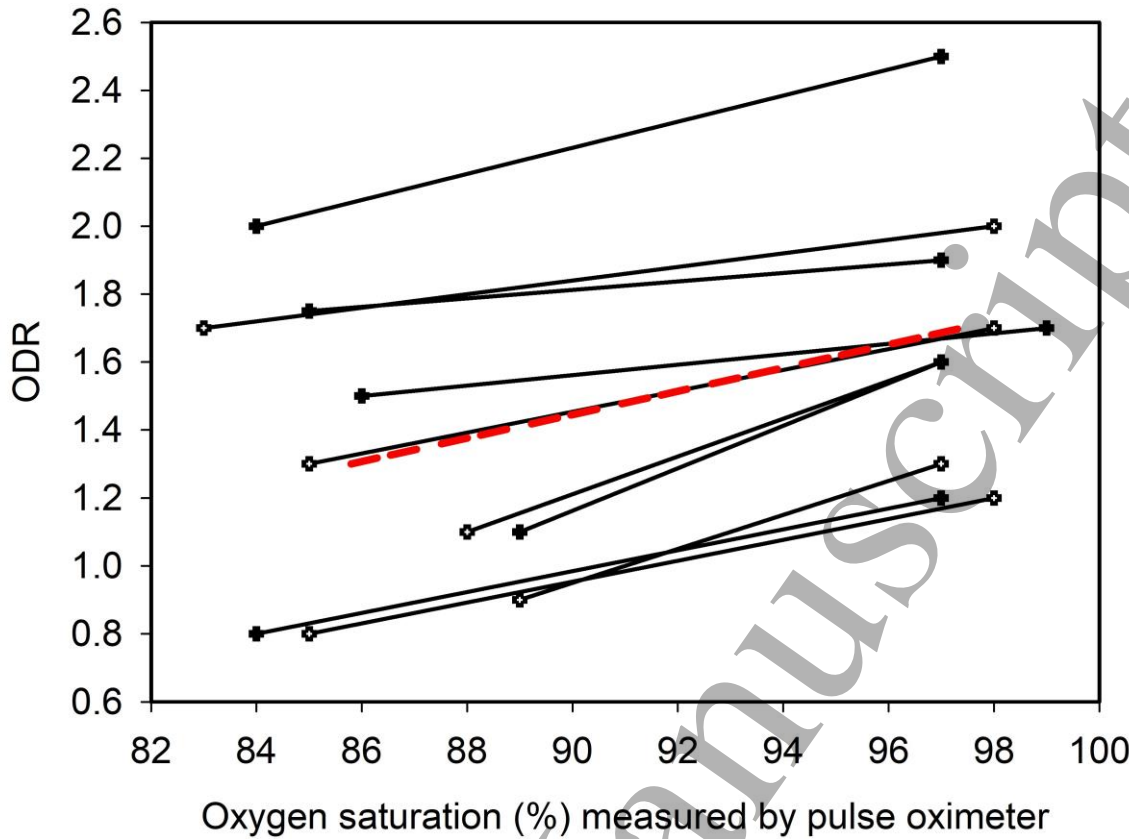
**Figure 5.** Variation of pupil image ODR with the angle of gaze. The high ODR plateau region corresponds to the optic disc which is closer to white than the rest of the fundus.

*3.2. Fundus ODR with Change in Inspired Oxygen in Healthy Human Subjects*

The variation in ODR was determined as the inspired gas was cycled between normoxic 21 % (Fraction of Inspired oxygen)  $\text{FiO}_2$ , and hypoxic 15 %  $\text{FiO}_2$  conditions. A pulse oximeter (AUTOCORR; Smiths Medical ASD Inc., Rockland, MA, USA) placed over the index finger throughout the experiment monitored and recorded oxygen saturation. The recorded variation in ODR (normalised with the first normoxia measurements), averaged over 2.5 normoxic/hypoxic cycles, is shown for ten subjects in Figure 6 and the variation in average ODR with pulse oximetry is shown in Figure 7. The reduction in ODR between each level of normoxic and hypoxic  $\text{FiO}_2$  and also with fingertip pulse oximetry is highly significant ( $P < 0.001$  for each case).



**Figure 6.** Changes in optical density ratio (normalised) as a function of either normoxia (21 % FiO<sub>2</sub>, O<sub>2</sub> Saturation 97 %) or hypoxia (15 % FiO<sub>2</sub>, O<sub>2</sub> Saturation 86 %) in ten subjects. ODR was found to be directly proportional to pulse oxygen saturation.



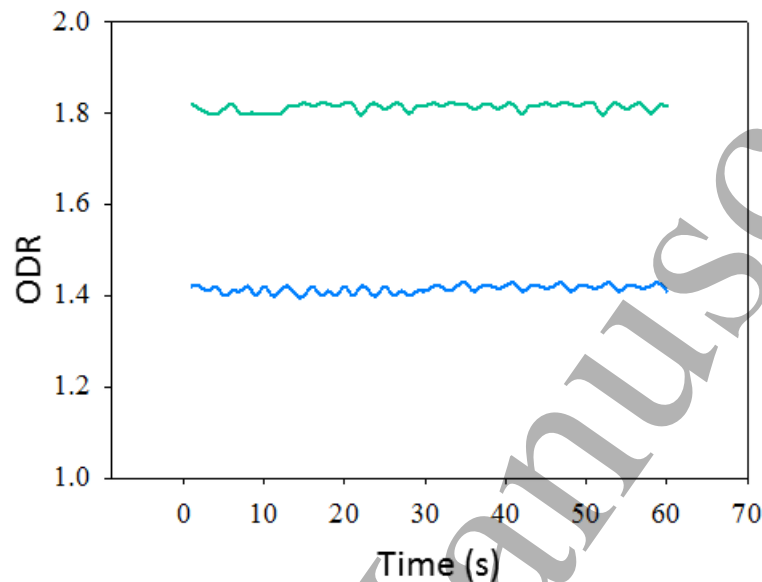
**Figure 7.** Oxygen saturation measured by pulse oximeter versus changes in optical density ratio in each of the ten subjects. Each black line represents one of the subjects and the red dotted line is averaged ODR of the ten subjects at hypoxia and normoxia.

The repeatability and stability of the technique was demonstrated by the temporal recordings of ODR for two subjects over a period of 60 seconds when breathing room air as shown in Figure 8, showing a root-mean-square variation of 0.019 compared to a typical modulation in ODR of 0.3: a signal-to-noise ratio of 16. The average gradient of the variation of ODR with oxygenation saturation is 3.47 and so the noise-like variations in Figure 8 correspond to noise-equivalent variations in oxygen saturation of 0.5%.

Collectively, the data in Figures 6, 7 and 8, illustrates that the decrease in ODR mirrored the effect of changing the inspired gas mixture. Measurement of choroidal ODR enabled sensing of a reduction in systemic blood oxygenation during hypoxia exposure. This observation was



supported by the pulse oximeter data and the fact that the ODR remained stable over time (60 seconds).



**Figure 8.** Pupil Optical Density Ratio for two subjects, (as indicated by green and blue lines) at room air with respect to time.

#### 4. Discussion

In this study, a non-invasive method to sense oxygen saturation in choroidal blood is presented. The pupil ODR is sensitive to blood oxygenation and declines with a decrease in blood oxygenation, as demonstrated in human subjects breathing gas with a reduced oxygen content. The pupil ODR was assessed under normoxia in healthy human subjects and was found to decrease significantly ( $P < 0.001$ ) when compared with the hypoxia condition. At both normoxia and hypoxia arterial blood-oxygen saturation was monitored with a finger-tip pulse oximeter. The pupil reflection was recorded simultaneously for three normoxia stages and two hypoxia stages for each subject. The fundus ODR decreased significantly between each stage

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of normoxia and hypoxia (Figure 5) for all the ten subjects. The fundus ODR was also recorded for 60 seconds at room air for two subjects, and was found to be stable with time (Figure 8).

The results presented in this study are comparable with the results obtained by Broadfoot[20] and by Laing[21]: both studies reported a decrease in the reflection intensity with a decrease in blood oxygenation. The imaging and recording setup presented here, however, is simpler and has advantages over the methods used in those two previous studies [20, 21]. In their experimental methodology, the imaging system comprised of a fundus camera to image the eye, and an elaborate electronic system with photomultipliers and photodiodes to record the intensity of the reflections from the eye. Broadfoot used a filter wheel assembly to time-sequentially record the intensity at different wavelengths whereas Laing's apparatus used dichroic mirrors to separate reflections at two wavelengths, which were recorded simultaneously with two photodiodes. The setup presented here has a fundus camera fitted with an IRIS device, which enables recording of spectral images at multiple wavelengths in a single snapshot onto a single detector. The advantage of using snapshot imaging ensures that pupil images at different wavelengths were captured at the same point of time thus removing any variability due to a temporal difference in recordings. Our device recorded the spectral images and the ODR was subsequently calculated from the images. Any eye movement was then easily corrected by image registration to enable a time-series measurement of choroidal oxygenation. Any faulty image frame or eye-blinks could also be easily identified and removed from the data. Previous protocols [20, 21] that have used the reflection intensity, which was directly recorded onto a photodiode with the corrections employed in the present study, would not have been possible. The other advantage of the technique presented here is that it can be used to image both eyes simultaneously, although the data presented in figures 5, 6 and 7 are based on images from one pupil only.

335 While increased oxygen tension has considerable constrictive effect on retinal vessels, such  
 336 effect is minor in the choroid. The morphological effect of oxygen tension on the choroid is  
 337 minimal to none and choroidal vasoconstriction unlikely to influence OD. Previous studies on  
 338 choroidal and retinal oxygen saturation using the Oxymap T1 device support this [22].

339 While using the fundus ODR for calculating oxygen saturation, it is important to know which  
 340 region of the fundus (and underlying choroid) is being sampled. The process depends mainly  
 341 on the direction of gaze as described earlier in section 3.1. The effect of direction of the gaze  
 342 on fundus ODR was also assessed (Figure 4). It is important that when using fundus reflections  
 343 for oximetry purposes, to sample a constant region of the fundus and to avoid imaging the optic  
 344 disc. Consequently, only the macula region was illuminated during pupil reflection  
 345 experiments. Furthermore the avascular nature of the macular region means the sampled region  
 346 is dominated by choroidal blood.

347 In this study, we have demonstrated proof-of-principle recording in dark conditions. Future  
 348 work will refine the technique for use in ambient light by employing calibration and correction  
 349 of the reflection of ambient light from a calibration surface and the use of alternatives to a  
 350 calibration tile for calibration – for example, the sclera can also serve as an approximate  
 351 calibration. Reflection of ambient light from the retina is negligible compared to the reflection  
 352 of the flash illumination and can be neglected.

353 We have successfully demonstrated in human subjects that fundus ODR is capable of detecting  
 354 changes in blood oxygenation and that these measures correspond to changes observed using  
 355 pulse oximetry suggesting that the ODR can be used to calculate choroidal oxygenation. For  
 356 all the subjects, ODR decreased from an average value of  $1.7 (\pm 0.4)$  to  $1.3 (\pm 0.4)$  during the  
 357 period of inhaling the hypoxic gas mixture which tracked the changes in pulse oximeter values  
 358 from  $97 (\pm 0.5) \%$  to  $86 (\pm 2) \%$ . Thus we have demonstrated the ability to measure intra-

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subject changes in blood oxygenation, the large inter-subject variability is due to differences in retinal pigment epithelial layer between subjects. These differences indicate that for absolute oximetry a process of calibration would be required for each subject. Future work should aim to understand and reduce this inter-subject variability. One way to characterise the fundus and choroidal pigmentation could be to record the iris and skin colour and estimate the choroidal pigmentation based on these characteristics [40].

The results described in this study were based on a small number of subjects ( $n = 10$ ). A study based on a larger number of subjects that comprises individuals with a range of iris and skin colour would be useful to determine the degree of variability across a more general population.

There are certain advantages from using fundus reflection oximetry over retinal oximetry. A good quality retinal image is crucial to perform retinal oximetry which requires proper imaging technique and training. In contrast, fundus reflection images are relatively easy to acquire. Retinal oximetry image analysis is a lengthy and complex process when compared to fundus reflection oximetry. The other unique advantage is that unlike retinal oximetry, fundus reflection oximetry could be performed in both the eyes simultaneously.

The method we present involves co-axial illumination and spectral imaging of pupils, exploiting the spectral signature applied to light emitted from the pupil following two-way transmission through the choroid. Although it is similar to the work of Broadfoot et al[20] and Laing et al[21], these researchers employed techniques that are essentially non imaging and time sequential; they utilised modified ophthalmoscopes to focus light reflected from the fundus, through the pupil onto single photodetectors. In contrast, we have imaged the pupil to record the light reflected by the ocular fundi at multiple wavelengths in a single snapshot. This apparatus has enabled remote (~90mm standoff) assessment of choroidal oxygenation and demonstrates that, in principle; oximetry at large standoff ranges is also possible. The technique

has possible applications for remote triage in emergency medicine or systemic blood oximetry in for example sport or high-stress activities or in scenarios where pulse oximeter is limited e.g. motion artefacts, low pulse problem, pigmentation, and poor perfusion (due to cold, shock, sepsis or heart attack). Furthermore, this technique could be adapted for simultaneous imaging of both eyes which opens the possibility of temporally-resolved bilateral discrimination of ocular disparity and systemic oximetry effects.

Measurement of Choroidal-oxygen saturation could provide a viable surrogate measurement of oxygen saturation in the cerebral vasculature. Such an approach could be useful in understanding the pathophysiology of diseases such as age-related macular degeneration[13, 14], diabetic retinopathy[15, 16] in which choroidal blood flow plays an important role [22]. Other potential application includes blood loss and internal bleeding assessment in trauma victims. Using this technique, we may also detect arrival of a de-saturation signal from the lungs to the blood circulation of both the eyes, a technique that could potentially be useful in detecting carotid artery stenosis.

In conclusion, the particular advantage of the technique presented in this pilot study on ten healthy individuals is the standoff sensing of the oxygenation of blood in the choroidal circulation as a proxy for systemic and cerebral oxygenation. We have demonstrated a measurement precision of 0.5% for short-term changes in blood oxygenation. We have employed relatively high-cost laboratory equipment that was available to us, however the technique could be readily implemented using low cost mass-produced cameras and, for example, LED illumination.

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## Appendix

Here we show that there is a linear relationship between the Optical Density Ratio (ODR) and blood oxygen saturation ( $s$ ). Our fundamental measurement is of the average intensity of light at the detector corresponding to the radiance at the calibration tile and at the pupil at two

wavelengths. If we assume the calibration tile to be Lambertian, then the output of a detector pixel in the image of the tile is given by

$$v_c = \eta A^\lambda \pi L_0^\lambda N_i^2 \quad (1)$$

where  $A^\lambda$  is the albedo of the tile,  $L_0^\lambda$  is the irradiance in the plane of the tile and pupil due to the light source,  $N_i$  is numerical aperture of the entrance aperture of the imaging system and  $\eta$  is a conversion factor taking into account the responsivity of the detector and the image-space numerical aperture of the imaging system. The total optical power illuminating the retina through the pupil is therefore  $a_p L_0^\lambda$  where  $a_p$  is the area of the pupil. For simplicity, we will assume that this light is scattered by the sclera with two-way transmission through the choroid and attenuated according to the Beer-Lambert law. We also assume that the light intensity illuminating the pupil due to illumination by the retinal reflection is uniform (although we observe departures from this approximation). To a good approximation the scattering by the calibration tile is Lambertian and the irradiance at the pupil is independent of the illumination distribution at the retina and is therefore equal to  $a_p L_0^\lambda / 2\pi r^2$  where  $r$  is the radius of the eye. As shown in Figure 2, all light from the illuminated area of the retina that exits the pupil is transmitted through the pupil; that is the light reflected back through the pupil is not Lambertian and so the output of a pixel corresponding to an image of the pupil is

$$v_p = \frac{\eta a_p L_0^\lambda}{2\pi r^2} 10^{-2\varepsilon^\lambda cl} \quad (2)$$

where the exponential term corresponds to application of the Beer-Lambert law,  $\varepsilon^\lambda$  is the extinction coefficient of blood at wavelength  $\lambda$  and  $l$  is the thickness of the choroid. The ODR for measurements recorded at wavelengths  $\lambda_1$  and  $\lambda_2$  is therefore given by



$$ODR = \frac{\log_{10} \frac{v_p^{l_1}}{v_c^{l_1}}}{\log_{10} \frac{v_p^{l_2}}{v_c^{l_2}}} = \frac{\chi^{a_{p,l_1}} - e^{l_1} cl}{\chi^{a_{p,l_2}} - e^{l_2} cl} \quad (3)$$

where

$$\chi^{a,l} = \log_{10} \left( \frac{a}{2A' (r\rho N_i)^2} \right) \quad (4)$$

We employ spectrally neutral calibration tiles so  $A^{l_1} = A^{l_2}$  and due to mydriasis  $a$  can be assumed constant during the experiments and  $\chi^{a_{p,l}}$  can be considered as a constant,  $\xi$ . Taking the log of ratio of  $v_p^{l_1}/v_c^{l_1}$  to  $v_p^{l_2}/v_c^{l_2}$  yields

$$cl = \frac{OD^{\lambda_1} - OD^{\lambda_2}}{\varepsilon^{\lambda_2} - \varepsilon^{\lambda_1}} \quad (5)$$

which can be substituted into (6) to yield

$$e^{l_2} = e^{l_1} \frac{OD^{l_2} - \chi}{OD^{l_1} - \chi} \quad (6)$$

We choose  $\lambda_2$  to be an isosbestic point, such as 800 nm, and  $\lambda_1$  to be an oxygen-sensitive wavelength such as 780 nm and substitute  $e^{l_1} = se_{HbO}^{l_1} + (1-s)e_{Hb}^{l_1}$ , where  $s$  is optical saturation and the subscripts HbO and Hb denote the extinction coefficients for oxygenated and deoxygenated haemoglobin respectively there is a proportionate relationship between  $s$  and ODR:

$$s = \frac{1}{e_{HbO}^{780} - e_{Hb}^{780}} \left( e^{l_2} \frac{OD^{780} - \frac{\chi}{OD^{800}}}{1 - \frac{\chi}{OD^{800}}} - e_{HbO}^{l_1} \right) = m.ODR + c \quad (7)$$

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$$m = \frac{e^{I_2}}{(e_{HbO}^{780} - e_{Hb}^{780}) \left( 1 - \frac{\chi}{OD^{800}} \right)} \quad (8)$$

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$$c = - \frac{e^{I_2}}{e_{HbO}^{780} - e_{Hb}^{780}} \left( \frac{\chi}{OD^{800} - \chi} + \frac{e_{HbO}^{I_1}}{e^{I_2}} \right) \quad (9)$$

539 Note that  $\xi$  varies with  $a_p$  and so both the gradient and offset of this relationship are sensitive  
540 to variations in the pupil diameter.